

檔 號:

保存年限:

第一金證券投資顧問股份有限公司 函



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附件：如說明

主旨：通知「英傑華全球高收益債券基金」變更基金經理人事宜，請 查照。

說明：

本公司接獲境外基金管理機構通知，「英傑華全球高收益債券基金」之基金經理人自即日起將變更為 Todd Youngberg 與 Brent Finck。詳情請參附件。

附件：

1. 境外基金管理機構致股東通知函。
2. 境外基金管理機構致股東通知函中譯本。
3. 基金經理人簡介。

正 本：本公司各法人投資公司、本公司各銷售機構

副 本：



26 June 2013

High Yield Portfolio Manager Update

We wanted to inform you that Josh Rank, high yield portfolio manager, has resigned from Aviva Investors. Currently based in Des Moines, Josh has declined an opportunity to relocate to join our high yield team based in Chicago. He has been a valued member of our team and we wish him well.

With immediate effect, Todd Youngberg will be taking on more of the day-to-day portfolio management of the High Yield and Bank Loan portfolios, along with Brent Finck. Todd will take over as lead manager on the Aviva Investors Global High Yield Fund (SICAV).

Todd has 23 years of experience managing high yield portfolios and is the creator of the high yield strategies and investment processes. Much of the success of our high yield capability has been a result of our focus on delivering results through a consistent, institutional process managed by a team of collaborative professionals. This approach will not change.

Brent Finck, promoted recently to portfolio manager, is already a strong contributor in that role and is working closely with Todd, having been a research analyst on the investment team for the past five-plus years.

As we previously communicated, Sunita Kara, CFA, will be joining us as a European High Yield Portfolio manager located in our London office. A Chicago-based analyst is also scheduled to join Aviva Investors over the next few months.

In the interim, Jiten Joshi, a US-based co-portfolio manager on our Global Credit Absolute Return Fund with extensive high yield expertise, will also assist the team.

Our focus remains on delivering exceptional results in our high yield strategies and helping our clients around the world achieve their unique investment objectives. Thank you for your time and the confidence you have placed in us as your manager.

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AVIVA INVESTORS AMERICAS HIGH YIELD INVESTMENT TEAM

Portfolio Management

Todd Youngberg, CFA
Head of High Yield

Brent Finck, CFA
High Yield Portfolio Manager

Research

Ashish Dafria, CFA
Head of Research
Media, Telecom & Technology

Jonathan Levine, CPA
Senior Analyst
Media, Telecom & Technology

Kevin Gaydos, CFA
Senior Analyst
Basics Industries, Metals & Mining

Bin Gu
Senior Analyst
Autos, Capital Goods, Consumer
& Retail

John Harzich, CFA
Senior Analyst
Pipelines & Utilities

Kevin O'Donoghue, CFA
Senior Analyst
Financials, Banking & Brokerage

Dedicated global resources: Chris Higham, CFA, Portfolio Manager (London)
Global Research Teams: Europe (11), Asia-Pacific (4)

New Staff: High Yield Portfolio Manager (London, August Start)
Senior Research Analyst (Chicago, July Start)

Planned Hires: High Yield/Bank Loan Trader (Chicago)
High Yield Portfolio Manager (Chicago)
Senior Research Analysts (Chicago)

2013 年 6 月 26 日

英傑華全球高收益債券基金 經理人變更 (中譯)

本函欲通知您英傑華環球投資高收益債券投資組合經理人 Josh Rank 已辭去相關職務。由於高收益投資團隊將搬遷至芝加哥，Josh 原本定駐於 Des Moines，因此婉拒了搬遷的機會。我們感謝 Josh 對投資團隊的貢獻，並祝福他一帆風順。

自即日起，Todd Youngberg 與 Brent Finck 將會負責更多高收益債券和銀行貸款投資組合的日常投資組合管理。Todd 將會接手英傑華全球高收益債券基金(SICAV)之領導經理人的職位。

Todd 具有 23 年豐富的高收益投資經驗，而且也是高收益投資策略和投資流程之創始人。英傑華環球投資以專業的投資團隊和一致的投資流程，締造高收益投資策略優異的成績。既有之流程將不會有任何改變。

Brent Fink 近期已升職為投資組合經理人，並且緊密的與 Todd 合作。自從他在過去五年擔任投資團隊之研究分析師以來，便已有相當重要的貢獻。

此外，Sunita Kara (CFA)將會加入位在倫敦的歐洲高收益投資團隊。未來數月中將會有另一位分析師加入芝加哥團隊。

在此期間，同樣位在美國的英傑華全球債信絕對報酬基金之經理人 Jiten Joshi 將會以豐富的高收益投資管理經驗協助高收益債券團隊。

高收益債券團隊仍會以創造優異績效為首重，以協助全球客戶達成投資目標。英傑華環球投資感謝您的支持與信任。

Todd Youngberg, CFA

Global Investment Director – Fixed Income and Head of High Yield Investments

Todd Youngberg joined Aviva Investors North America, Inc. in 2008. He is responsible for overseeing all High Yield strategies and managing the High Yield business. He is also responsible for overseeing the institutional marketability of all fixed income strategies globally.

He has more than 22 years of investment management industry experience. Prior to joining the firm, Todd was senior managing director and global head of High Yield for ABN AMRO Asset Management, Inc.

Todd earned his bachelor's degree in business management from Central College and his master of business administration degree from Drake University. He holds the chartered financial analyst designation and is a member of the CFA Institute and the CFA Society of Chicago.

基金經理人：

Todd Youngberg, CFA

固定收益全球投資長及高收益債券投資主管

Todd 自 2008 年加入英傑華環球投資北美公司，主要負責監督所有高收益投資策略及管理高收益投資事業部門，同時他也監管全球固定收益投資策略之法人銷售。

Todd 具備 22 年以上的投資管理經驗。加入英傑華之前，Todd 擔任 ABN AMRO Asset Management, Inc. 之資深常務董事及全球高收益債券投資主管。

Todd 持有 Central College 之經營管理學士學位，以及 Drake University 之企管碩士學位，同時亦持有特許財務分析師資格，也是 CFA Institution 和芝加哥 CFA Society 之會員。

Brent Finck, CFA

Securities Analyst

Brent joined the firm in June of 2008 as Securities Analyst and will work in Aviva Investors Chicago, IL office. He is responsible for investment research on the healthcare and tobacco industries.

Brent has 9 years of experience in the investment management industry. Prior to joining Aviva Investors Brent was an investment analyst with Victory Park Capital, a multi-strategy hedge fund. His prior experience includes positions as an equity analyst with Robert W. Baird and a fixed income analyst with Consecro Capital Management.

Brent earned a bachelor degree in Finance from the University of Illinois. He is a Chartered Financial Analyst charter holder and a member of both the CFA institute and the CFA Society of Chicago.

基金經理人：

Brent Finck, CFA

債券分析師

Brent 自 2008 年 6 月加入英傑華環球投資芝加哥辦公室，任職債券分析師，負責醫療保健和菸草事業類股之投資研究。

Brent 具有 9 年的投資經驗，在加入英傑華環球投資之前，Brent 擔任 Victory Park Capital 多重策略避險基金之投資分析師。在此前也曾在 Robert W. Baird 擔任股票分析師，和在 Consecro Capital Management 擔任固定收益分析師。

Brent 持有 University of Illinois 金融學士學位，同時亦持有特許財務分析師資格，也是 CFA Institution 和芝加哥 CFA Society 之會員。